



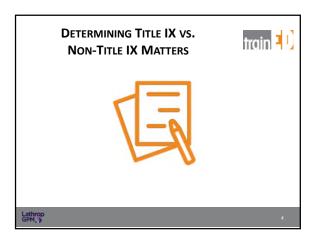
TERMINOLOGY

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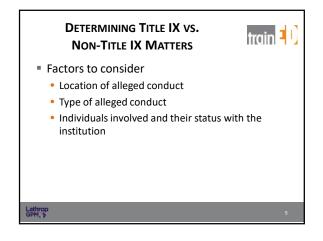
- DOE = Department of Education
- Recipient = Institutions covered by Title IX
- OCR = Department of Education's Office for Civil Rights
- VAWA = Violence Against Women Reauthorization Act

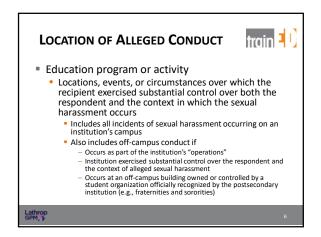
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 FERPA = Family Educational Rights and Privacy Act











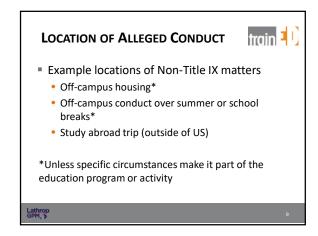
LOCATION OF ALLEGED CONDUCT



- Education program or activity (cont.)
 - Consider whether recipient funded, promoted, or sponsored the event or circumstance
 - No single factor is determinative
 - Clery Act geography is not co-extensive with scope of education program or activity
- Against a person in the United States

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TYPE OF ALLEGED CONDUCT



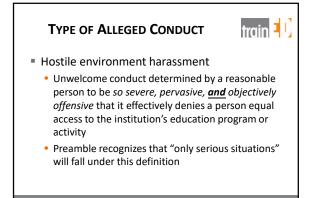
Title IX sexual harassment

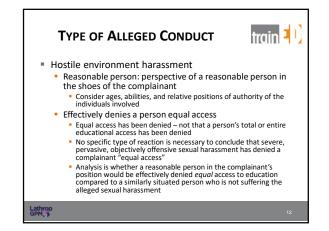
 Employee conditions aid, benefit, or service of the institution on an individual's participation in unwelcome sexual conduct (quid pro quo by an employee)

 Unwelcome conduct determined by a reasonable person to be so severe, pervasive, <u>and</u> objectively offensive that it effectively denies a person equal access to the institution's education program or activity (hostile environment)

 Sexual assault, dating violence, domestic violence, or stalking, as defined in Clery

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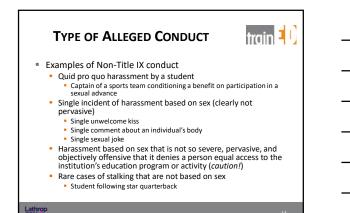


TYPE OF ALLEGED CONDUCT



Examples of Title IX conduct

- Quid pro quo harassment based on sex by an employee • Supervisor conditioning promotion on participation in sexual advance
- Professor conditioning grade on participation in sexual advance
 Severe, pervasive, and objectively offensive harassment
- based on sex, such as:
 Multiple text messages and other comments based on sex
- Multiple incidents of unwelcome kissing and other unwelcome touching
- Multiple incidents of gossip about sexual relations
- VAWA crime (sexual assault, domestic violence, dating violence, stalking)





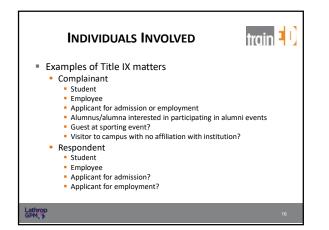


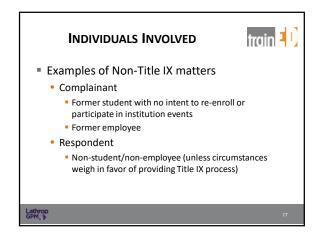
Complainant

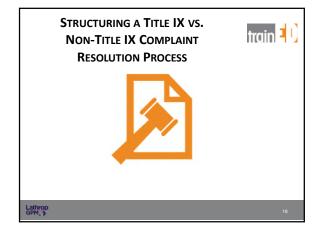
- Must receive a formal complaint before initiating Title IX grievance process
- At the time of filing a formal complaint, a complainant must be participating in or attempting to participate in the education program or activity of the recipient with which the formal complaint is filed
- Respondent

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- Discretionary dismissal of formal complaint if respondent is no longer enrolled or employed by the institution
- Also have discretion to dismiss if respondent was never enrolled or employed by institution (caution if applicant)









LEGAL OBLIGATIONS



- Title IX
 - Sexual harassment (as defined by regulations)
 - In an education program or activity
 - Against a person in the United States
- VAWA

Lathrop GPM 🌗

- Allegations of sexual assault, domestic violence, dating violence, or stalking
- Applies regardless of location of alleged conduct (on or off campus; in or out of the education program of activity; in or out of the U.S.)

Legal Obligations* Other train 💶 conduct Title IX Quid pro quo VAWA harassment that Title IX VAWA crime that occurs in an education program or activity & VAWA occurs outside an education program or against a person in the United States activity VAWA crime that occurs in an education program Hostile environment (as defined by Title VAWA crime that occurs against a person outside of the United or activity against a person in the United IX) in an education program or activity States States against a person in the United States





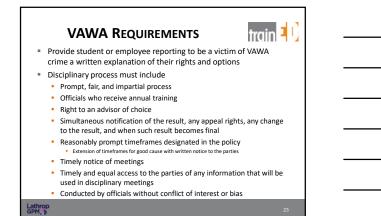


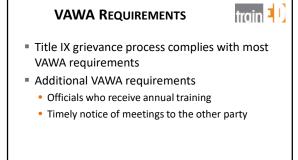
TITLE IX RESPONSE REQUIREMENTS

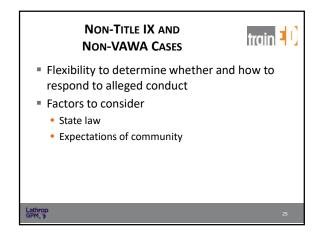


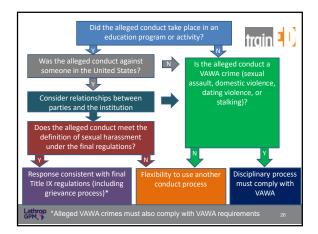
- Response must treat complainant and respondent equitably by
 - Offering supportive measures to a complainant (with or without formal complaint)
 - Following a grievance process that complies with the regulations before imposing any disciplinary sanctions or other actions that are not supportive measures against a respondent

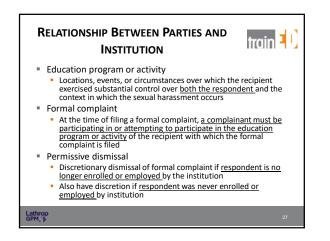
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CASES INVOLVING THIRD PARTIES



- Third party complainant and respondent who is a member of the campus community
 - Title IX (if within scope of Title IX)
 - Provide supportive measures
 - May not be required to comply with Title IX grievance process
 - VAWA (if allegation of VAWA crime)
 - No requirement to provide written explanation of rights and options (but still recommended)
 - Disciplinary process that complies with VAWA

CASES INVOLVING THIRD PARTIES Complainant who is a member of the campus community and third party respondent Title IX (if within scope of Title IX) Provide supportive measures Generally not required to comply with Title IX grievance process

- VAWA (if allegation of VAWA crime)
 - Provide a written explanation of student or employee's rights and options
 - Could take action (no trespass) without disciplinary process
 If engaging in a disciplinary process, comply with VAWA
 - requirements

STRUCTURING THE COMPLAINT RESOLUTION PROCESS



Process options

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- One process: Use the same procedures for all sexual misconduct cases (including live hearings)
- Hybrid: Use the same procedures for all Title IX and VAWA cases (including live hearings) and a separate process for non-Title IX/non-VAWA cases
- Separate processes: Create separate procedures for Title IX, VAWA, and non-Title IX/non-VAWA cases

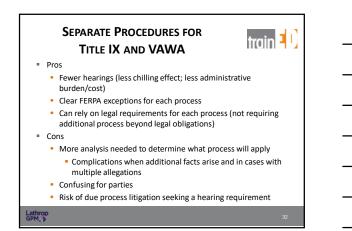
SAME PROCEDURES FOR TITLE IX AND VAWA

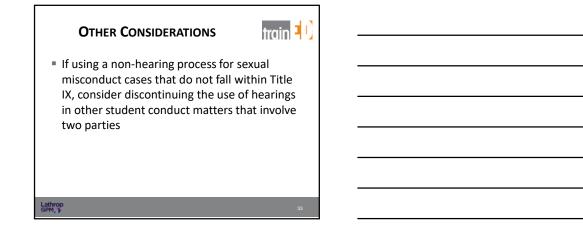


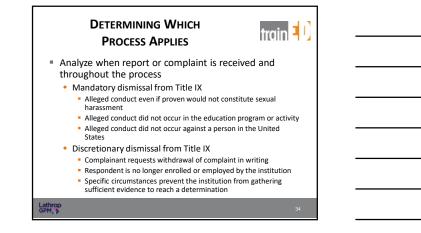
Pros

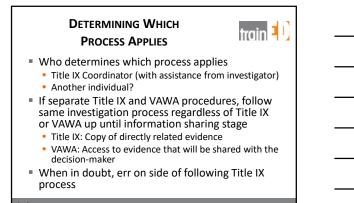
- Clarity on the process that applies to allegations of sexual misconduct
- Less risk of due process litigation
- Cons
 - Chilling effect of live hearing in all cases
 Cost of additional procedural requirements, including
 - Cannot explain hearing process as legally required in all
 - cases • Potential FERPA issues with information sharing in non-Title IX cases

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DIRECTLY RELATED EVIDENCE



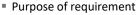
OPPORTUNITY TO REVIEW AND RESPOND TO DIRECTLY RELATED EVIDENCE



General requirements

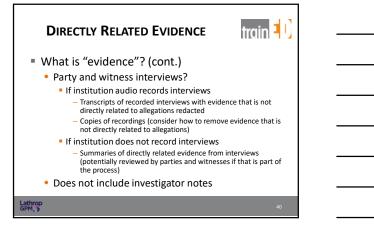
- Prior to completion of investigation report, must provide equal opportunity to inspect and review any evidence obtained that is directly related to the allegations
- Party must be given at least 10 days to submit a written response
- Investigator must consider that written response before completing investigation report
- Must make all that evidence available at any hearing

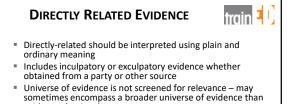
OPPORTUNITY TO REVIEW AND RESPOND TO DIRECTLY RELATED EVIDENCE



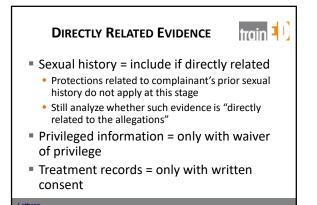
- Address alleged incidents of institutions withholding information from parties
- Parties should be aware of the existence of all directly related evidence so as to argue about whether it is relevant

<section-header>DIRECTLY RELATED EVIDENCE • What is "evidence"? • Documents collected from the parties • Pat messages • Emails • Social media posts and messages • Photos and videos • Photos and videos • Other evidence • Police reports • Security footage • Wifi access point records





- evidence that is relevant
 Investigator must gather evidence directly related to the allegations whether or not the recipient intends to rely on such evidence
 - For example, where evidence is directly related to the allegations but the investigator does not believe the evidence to be credible and does not intend to rely on it or include it in the investigation report

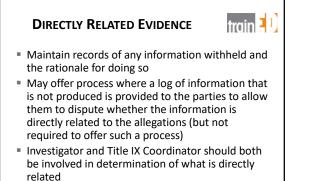


DIRECTLY RELATED EVIDENCE



- May permit or require the investigator to redact information that is not directly related to the allegations (or that is otherwise barred from use under the final regulations)
 - Redactions are limited to information not directly related or that is otherwise specifically barred
 - May not redact other information, such as confidential, sensitive, or prejudicial information, if it is directly related to the allegations

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OPPORTUNITY TO REVIEW AND RESPOND TO DIRECTLY RELATED EVIDENCE



Ensuring privacy

- May require parties and advisors to:
 - Use the evidence (and investigation report) only for purposes of the grievance process and
 - Require them not to further disseminate or disclose these materials
- May use a non-disclosure agreement
- May use digital encryption or other practices to address privacy concerns

OPPORTUNITY TO REVIEW AND RESPOND train 🖣 TO DIRECTLY RELATED EVIDENCE

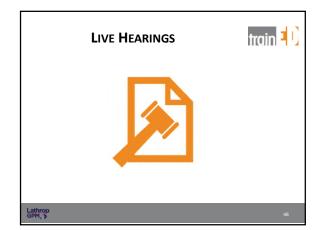
Close of evidence

- Recommended approach: Require all parties to submit any evidence that they would like the investigator to consider prior to when the parties' time to inspect and review evidence begins
 - Allow additional evidence after review period in limited circumstances
 - If additional evidence is accepted, provide opportunity to review additional directly related evidence (but no additional opportunity
 - to respond at this stage) Other opportunities to respond
 - Parties will receive investigation report summarizing relevant evidence and have opportunity to respond Parties will receive an opportunity to inspect and review all directly related evidence at any hearing and to refer to such evidence during the hearing

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- Steps following review
 - Investigator should consider parties' viewpoints about whether the evidence directly related to the allegations is relevant and therefore whether to include it in the investigation report
 - May provide a copy of each party's written response to the other party, but that is not required





LIVE HEARINGS



- General requirements
 - Must provide live hearing
 - Permit each party's advisor to ask the other party and witnesses "all relevant questions and follow-up questions"
 - Includes questions challenging credibility

 - Must be conducted "directly, orally and in real time"
 Questions asked by party's advisor and never by a party personally
 - Must provide, without fee or charge to that party, a party without an advisor at the hearing "an advisor of the *recipient's* choice who may but is not required to be an attorney to conduct cross-examination on behalf of that party"
 - Must create audio or audiovisual recording or transcript of any live hearing held and make it available to the parties for inspection and review
 - Parties do not have a right to a copy of the recording or transcript

DECISION-MAKER(S) train 11 May have single decision-maker or a panel of decision-makers If a panel of decision-makers, may appoint one decision-maker to make relevancy determinations at the hearing May appoint Title IX Coordinator or another individual who is not a decision-maker to enforce procedural rules at the hearing

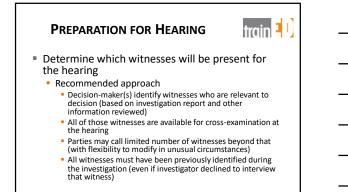
> Decision-maker(s) must still determine relevancy issues

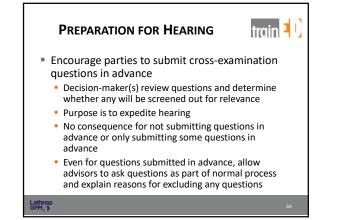
train 🏼 **PREPARATION FOR HEARING** Determine hearing format and arrange technology May conduct with all parties physically present in the same location At the request of either party, institution must provide for live hearing to occur with the parties located in separate rooms with technology enabling the decision-maker and parties to simultaneously see and hear the party or witness answering questions . Witness cannot demand to be in a separate room, unless that witness alleges they are also a victim of respondent (institution can permit witness to be in a separate room upon request) May conduct virtually (for all parties, witnesses, and other participants), with technology enabling participants simultaneously to see and hear each other Video is required; phone is insufficient GPM,

PREPARATION FOR HEARING

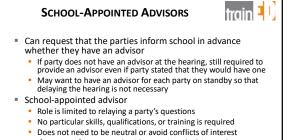


- Decision-maker(s) should review complaint, notice to parties, and investigation report
 - Consider whether decision-makers will review parties' responses to directly-related evidence
 - Consider whether decision-makers will review parties' responses to investigation report
- Identify ultimate questions that will need to be decided
 - Consider questions or topics that may come up and any anticipated relevancy issues







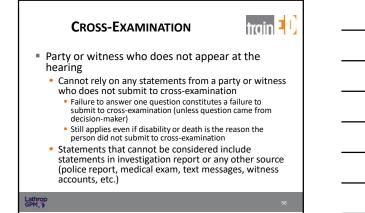


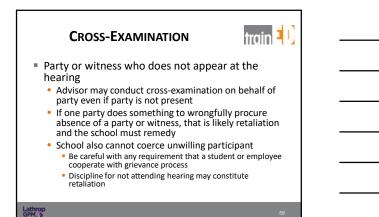
 If a party refuses to work with an assigned advisor – the party forfeits his or her right to cross examination

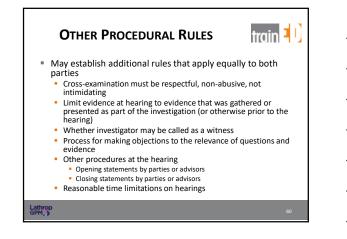
RELEVANCY

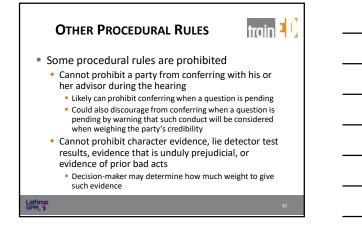
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- Decision-maker may hear arguments regarding relevancy of a question on the spot or may tell parties to reserve arguments for appeal (incorrect relevancy determination could be an alleged procedural error on appeal)
- Must allow question if relevant, even if misleading or assumes facts not in evidence
- Can establish rule that duplicative questions are not relevant
- Exclude questions with caution



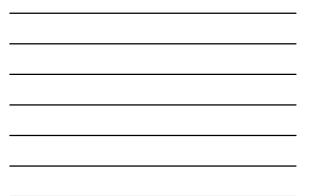












ON DEMAND TRAININGS



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Available on-demand:

- Annual Trauma Informed Training for Investigators and Adjudicators
- Annual Training for NEW Title IX Coordinators and Deputy Coordinators Annual Training for ADVANCED Title IX Coordinators and Deputy Coordinators Title IX/VAWA Hearing Panel Training
- Trauma Informed Training for First Responders, Confidential Resources, and Campus Security Making Your Sexual Misconduct Policy a 10
- Title IX/VAWA Training for Chief Academic Officers Title IX/VAWA Panel Discussion for Coaches and Athletic Directors

- Compliance Update: New VAWA Guidance Senior Leadership Training Transgender Students and Employees Training Minnesota Law Update: Title IX Coordinator Overview of Legal Requirements Confidential Resources Training Attorneys Serving as Advisors Panel Discussion on Interviewing Survivors of Sexual Assault
- Fundamentals of FERPA
- GPM, >

ON DEMAND TRAININGS

Available on-demand:

- Drafting Your Notice of Determination Post-VAWA Annual Security Report Drafting
- rost-owne Annuan security Report Unarting Additional Requirements Under Minnesota's Campus Sexual Assault Law Drafting Effective and Compliant Investigation Reports Title IX Coordinator Training: Duties Beyond Sexual Assault Title IX/AWA Training for Adjudicators

- Title IX/VAWA Investigator Training Train the Trainer: Title IX/VAWA Training for Coaches and Athletic Directors
- Panel Discussion on Title IX Recordkeeping Requirements and Best Practices Complying with the NCAA Sexual Violence Policy Part 1 Complying with the NCAA Sexual Violence Policy Part 2
- Unique Challenges in Responding to Allegations of Domestic Violence, Dating Violence, and Stalking
- Prevention programs for students & employees—customizable online modules

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